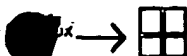


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		Application Number	09/730,034		
		Filing Date	December 4, 2000		
		First Named Inventor	Alex D. Poon		
		Group Art Unit	2152		
		Examiner Name	Unassigned		
Sheets	1	of	10	Attorney Docket Number	003801.P011

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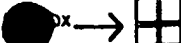
U.S. PATENT DOCUMENTS				
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	Number			
mt	3,581,072	Nymayer	5/25/1971	3/28/1968
	3,573,747	Adams, et al.	4/6/1971	2/24/1969
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	Office or Country	Number	Date			
mt	PCT	WO 92/15174	2/25/1992	Beaumont-Maxin International	9/3/1992	
↓	PCT	WO 96/34356	4/26/1996	Fleanet, Inc.	10/31/1996	
↓	PCT	WO 97/37315	3/19/1997	Onsale, Inc.	10/9/1997	

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
mt	MALONE, TW; YATES, J; et al., "Electronic Markets and Electronic Hierarchies", <u>Communications - of the ACM</u> , June 1987, Pages 484-497, Vol. 30, No. 6, USA	
↓	WARBELOW, A; KOKURYO, J, "Aucnet: TV Auction Network System". <u>Harvard Business School Case/Study</u> , July 1989, Pages 1-15, HBVR# 9-190-001, USA	
↓	"Personal Optimized Decision/Transaction Program", <u>IBM Technocal Disclosure Bulletin</u> , January 1995, Pages 83-84, Vol. 38, No. 1, USA	
↓	NEO, BS, "The implementation of an electronic market for pig trading in Singapore", <u>Journal of Strategic Information Systems</u> , December 1992, pages 278-288, Vol 1, No. 5	

Examiner Signature	<i>Harproff</i>	Date Considered	Oct 18 / 05
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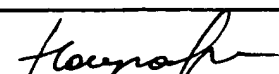
Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known	
		Application Number	09/730,034
		Filing Date	December 4, 2000
		First Named Inventor	Alex D. Poon
		Group Art Unit	2152
Examiner Name	Unassigned		
Attorney Docket Number	003801.P011		
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TRADEMARK					U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate				
		Number							
M J J J J J J J J J J J		5,136,501	Silverman, et al.	8/4/1992	5/26/1989				
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	Office or Country	Number	Date			
JH	Netherland	9300266 ✓	2.10.1993			
JH	France	2658635-A1 ✓	2.6.1991			
↓	Canada	2,253,543 ✓	3/19/1997			Yes

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
✓	ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
	MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
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	HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

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			Group Art Unit	2152	
Examiner Name	Unassigned				
Attorney Docket Number	003801.P011				
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	5,553,145	Micali	9/3/1996	8/4/1995
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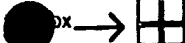
Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

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	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
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		Filing Date	December 4, 2000
		First Named Inventor	Alex D. Poon
		Group Art Unit	2152
Examiner Name	Unassigned		
Attorney Docket Number	003801.P011		
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	Office or Country	Number	Date			

OTHER DOCUMENTS		
Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
JF	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
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			Application Number	09/730,034	
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			First Named Inventor	Alex D. Poon	
			Group Art Unit	2152	
Examiner Name	Unassigned	RECEIVED APR 16 2002 Technology Center 2100			
Attorney Docket Number	003801.P011				
Sheet	5	of	10		


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OTHER DOCUMENTS		
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	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electric Markets</u> , October 1993, No. 9/10	
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Examiner Signature		Date Considered	Oct 18, 05
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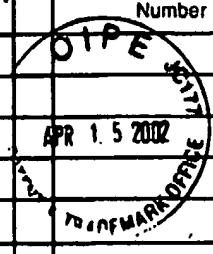
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			Filing Date	December 4, 2000	
			First Named Inventor	Alex D. Poon	
			Group Art Unit	2152	
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Sheet	6	of	10	Attorney Docket Number	003801.P011

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			Application Number	09/730,034
			Filing Date	December 4, 2000
			First Named Inventor	Alex D. Poon
			Group Art Unit	2152
Examiner Name	Unassigned <i>Technology Center 2100</i>			
Sheet 7 of 10	Attorney Docket Number 003801.P011			

U.S. PATENT DOCUMENTS				
Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate

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Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
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<i>31</i>	ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol. 1, No. 1	

Examiner Signature <i>Hayashi</i>	Date Considered <i>Oct 18, 05</i>
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Substitute for Form 1449A/P10 (Modified) (use as many sheets as necessary) Page 8 of 10	Attorney Docket No.: 003801.P011	Application Number: 09/730,034
	First Named Inventor:	Alex D. Poon
	Filing Date:	December 4, 2000

U.S. PATENT DOCUMENTS

Exam. Initial	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ²	Number ³			
			4,864,516		Gaither et al.	09-05-1989
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		Office ²	Number ³	Kind Code ⁴ (If known)				
mt		WIPO	WO 99/63461	A1	Dinwoodie, David	12-09-1999		
mt		WIPO	PCT/US 01/12398	Int'l. Search Report	eBay, Inc.	08-27-2001		

Examiner Signature	<i>Kaynot</i>	Date Considered	Oct 18/05
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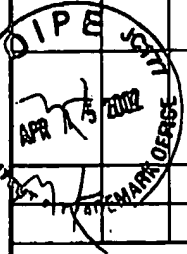

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 9 of 10		Attorney Docket No.: 003801.P011		Application Number: 09/730,034		
		First Named Inventor: Alex D. Poon				
		Filing Date: December 4, 2000				
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) Page 10 of 10				Attorney Docket No.: 003801.P011		Application Number: 09/730,034	
				First Named Inventor: Alex D. Poon			
				Filing Date: December 4, 2000			
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